

# Some questions of uniformity in algorithmic randomness

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# The central theorem

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Let  $\alpha$  be a lower-semicomputable (or left-c.e.) real in  $[0, 1]$ . The following are equivalent:

- (i)  $\alpha$  is Martin-Löf random
- (ii)  $\alpha = \Omega_U = \sum_n 2^{-K_U(n)}$  for some universal prefix-free machine  $U$
- (iii)  $\alpha = \sum_n m(n)$  for some maximal lower-semicomputable semi-measure  $m$
- (iv)  $\alpha$  is Solovay-complete (maximal for the Solovay order, where  $\beta \leq_S \alpha$  if  $k\alpha - \beta$  is left-c.e. for some integer  $k$ )

(Combination of several results by Chaitin, Solovay, Calude et al., Kučera and Slaman).

# The Kraft-Chaitin theorem

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On the other hand, the Kraft-Chaitin theorem tells us that, if we are not concerned with universality, we have the **uniform** equivalence:

- (i)  $\alpha$  is left-c.e. in  $[0, 1]$
- (ii)  $\alpha = \Omega_M = \sum_n 2^{-K_M(n)}$  for some prefix-free machine  $M$
- (iii)  $\alpha = \sum_n m(n)$  for some lower-semicomputable semi-measure  $m$

# Uniform construction of universal objects

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- A universal prefix-free machine  $U$  such that  $\sum_n 2^{-K_U(n)} = \alpha$ ?

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- A universal prefix-free machine  $U$  such that  $\sum_n 2^{-K_U(n)} = \alpha$ ?
- A maximal lower-semicomputable semimeasure  $m$  such that  $\sum_n m(n) = \alpha$ ?

# Uniform construction of universal objects

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We were able to prove:

## Theorem

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## Theorem

*One cannot uniformly build a universal prefix-free machine  $U$  from the index of a Martin-Löf random  $\alpha$ . But one can uniformly build a maximal lower-semicomputable semimeasure!*

# Non-uniformity for machines

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We build a left-c.e. random  $\alpha$ . By the recursion theorem we can know its index and thus know which machine  $U$  we are up against.

We build our own prefix-free machine  $M$  and want to ensure that

**either**  $\sum_n 2^{-K_U(n)} \neq \alpha$

**or** there is no constant  $c$  such that  $K_U \leq K_M + c$ .

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Important: if at any stage  $s$  we notice that  $\Omega_U[s] > \alpha[s]$ , then we immediately win by picking a random  $\gamma$  in the interval  $[\alpha[s], \Omega_U[s]]$  and set  $\alpha = \gamma$ .

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Thus we can assume that the opponent “stays below us” ( $\Omega_U < \alpha$ ) at all times.

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$(\mathcal{R}_c) : \Omega_U \neq \alpha$  or  $K_U(\sigma) > K_M(\sigma) + c$  for some  $\sigma$

Let us fix a  $c$  and try to deal with one requirement.

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Our machine  $M$  issues descriptions of type  $M(0^k 1) = \tau$ . We suppose we have already issued some descriptions into our machine  $M$ , and find a program  $k$  such that  $0^k 1$  is not yet in the domain of  $M$ .

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As we move towards  $\beta$ , we monitor  $\Omega_U$  which as discussed must remain below us. We wait for a stage where  $\Omega_U$  gets very close to  $\alpha$  (say,  $2^{-c-k-4}$ -close).

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If it does, we issue a description  $M(0^k 1) = \sigma$  for a fresh  $\sigma$  (not seen so far in the range of  $U$ ).

This puts the opponent in a bad spot. Either he tries to match our description by issuing a new  $U(p) = \sigma$ , with  $|p| \leq |0^k 1| + c$ , but then  $\Omega_U$  becomes greater than  $\alpha$ ! (and we win). Or he never matches our description and we satisfy our requirement by ensuring  $K_U(\sigma) > K_M(\sigma) + c$

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What we do instead is move towards  $\beta$  using milestones. We pick an intermediate  $\beta'$  which is random and  $\approx 2^{-c-d-6}$  far from our current  $\alpha$ .

Then either the opponent follows us, and we pick a new intermediate  $\beta'$  while having made progress towards the real  $\beta$ . Or he does not and the requirement is satisfied.

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Recall that a **layerwise computable** function is a function  $F$  defined on all Martin-Löf random reals, such that  $F(x)$  can be uniformly computed given  $x$  **together with an upper bound on the randomness deficiency of  $x$** .

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Recall that a **layerwise computable** function is a function  $F$  defined on all Martin-Löf random reals, such that  $F(x)$  can be uniformly computed given  $x$  **together with an upper bound on the randomness deficiency of  $x$** .

Example:  $F(x) = \sum_n \frac{(-1)^{x(n)}}{n}$ .

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**Theorem**

*Yes and no.*

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Layerwise computability requires that the answer is independent from the given bound on randomness deficiency. In particular, a layerwise computable function is  $\emptyset'$ -computable.

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And the following stronger theorem holds:

## Theorem

*One cannot  $\emptyset'$ -uniformly produce an index of a universal prefix-free machine  $U$  from the index of a Martin-Löf random  $\alpha$ .*

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The reason this stronger version is true is that the base theorem is scalable: we can choose to build  $\alpha$  in any rational interval  $[a, b]$  we want.

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So now pick a Martin-Löf random  $\xi$ , and let  $\xi_0 < \xi_1 < \dots$  be a computable approximation of  $\xi$  by rationals.

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We start our construction in the interval  $[\xi_0, \xi_1]$ . If at any point there is a mind change on the index of the machine  $U$ , we move to  $[\xi_1, \xi_2]$  and restart the construction against the new machine.

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We start our construction in the interval  $[\xi_0, \xi_1]$ . If at any point there is a mind change on the index of the machine  $U$ , we move to  $[\xi_1, \xi_2]$  and restart the construction against the new machine.

If there are finitely many mind changes, we get to perform our construction. And if there are infinitely many mind changes, our final  $\alpha$  will be  $\xi$ , while the opponent will have failed to  $\emptyset'$ -produce the index of a machine  $U$ .

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However, there **is** a uniform procedure which given a left-c.e.  $\alpha$  and a bound on its randomness deficiency produces a universal machine  $U$  such that  $\Omega_U = \alpha$  (but  $U$  depends on the bound).

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This follows from previous work. Let  $\Omega$  be the halting probability of an optimal machine. Kučera and Slaman showed how from the index of a left-c.e. real  $\alpha \in [0, 1]$  one can build a Martin-Löf test  $(\mathcal{V}_k)$  such that if  $\alpha \notin (\mathcal{V}_k)$  then one can, uniformly in  $k$ , produce approximations  $\alpha_1 < \alpha_2 < \dots$  of  $\alpha$  and  $\Omega_1 < \Omega_2 < \dots$  of  $\Omega$  such that  $(\alpha_{s+1} - \alpha_s) > 2^{-k}(\Omega_{s+1} - \Omega_s)$ .

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Then, as shown by Calude et al., one can use such approximations to uniformly build a uniform machine with halting probability  $\alpha$ .

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- There exists a machine  $V$  such that  $\Omega_U - \Omega_V$  is neither left-c.e. nor right-c.e.
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Question (Barmpalias and Lewis-Pye): how uniform is this theorem?

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## Theorem

*The construction of  $V$  from  $U$  is not uniform in general, but **is** uniform if  $U$  is universal.*

Děkuji  
thank you