

Some questions of uniformity in algorithmic randomness

Laurent Bienvenu (CNRS & Université de Bordeaux)

Barbara Csima (University of Waterloo)

Matthew Harrison-Trainer (Victoria University of Wellington)

Logic Colloquium

Prague

August 12, 2019



The central theorem

Let α be a lower-semicomputable (or left-c.e.) real in $[0, 1]$. The following are equivalent:

- (i) α is Martin-Löf random
- (ii) $\alpha = \Omega_U = \sum_n 2^{-K_U(n)}$ for some universal prefix-free machine U
- (iii) $\alpha = \sum_n m(n)$ for some maximal lower-semicomputable semi-measure m
- (iv) α is Solovay-complete (maximal for the Solovay order, where $\beta \leq_S \alpha$ if $k\alpha - \beta$ is left-c.e. for some integer k)

(Combination of several results by Chaitin, Solovay, Calude et al., Kučera and Slaman).

The Kraft-Chaitin theorem

On the other hand, the Kraft-Chaitin theorem tells us that, if we are not concerned with universality, we have the **uniform** equivalence:

- (i) α is left-c.e. in $[0, 1]$
- (ii) $\alpha = \Omega_M = \sum_n 2^{-K_M(n)}$ for some prefix-free machine M
- (iii) $\alpha = \sum_n m(n)$ for some lower-semicomputable semi-measure m

Uniform construction of universal objects

Question (Barmpalias and Lewis-Pye): Can the two be combined?

Uniform construction of universal objects

Question (Barnali and Lewis-Pye): Can the two be combined?

In other words, suppose you are given an index for a left-c.e. real $\alpha \in [0, 1]$ together with the promise that α is random. Can you uniformly build:

- A universal prefix-free machine U such that $\sum_n 2^{-K_U(n)} = \alpha$?

Uniform construction of universal objects

Question (Barnali and Lewis-Pye): Can the two be combined?

In other words, suppose you are given an index for a left-c.e. real $\alpha \in [0, 1]$ together with the promise that α is random. Can you uniformly build:

- A universal prefix-free machine U such that $\sum_n 2^{-K_U(n)} = \alpha$?
- A maximal lower-semicomputable semimeasure m such that $\sum_n m(n) = \alpha$?

Uniform construction of universal objects

We were able to prove:

Theorem

One cannot uniformly build a universal prefix-free machine U from the index of a Martin-Löf random α .

Uniform construction of universal objects

We were able to prove:

Theorem

One cannot uniformly build a universal prefix-free machine U from the index of a Martin-Löf random α . But one can uniformly build a maximal lower-semicomputable semimeasure!

Non-uniformity for machines

We sketch the proof of non-uniformity for machines. Suppose that a universal machine can uniformly be constructed from a random real.

Non-uniformity for machines

We sketch the proof of non-uniformity for machines. Suppose that a universal machine can uniformly be constructed from a random real.

We build a left-c.e. random α . By the recursion theorem we can know its index and thus know which machine U we are up against.

Non-uniformity for machines

We sketch the proof of non-uniformity for machines. Suppose that a universal machine can uniformly be constructed from a random real.

We build a left-c.e. random α . By the recursion theorem we can know its index and thus know which machine U we are up against.

We build our own prefix-free machine M and want to ensure that

either $\sum_n 2^{-K_U(n)} \neq \alpha$

or there is no constant c such that $K_U \leq K_M + c$.

Non-uniformity for machines

During the construction, we monitor $\Omega_U = \sum_n 2^{-K_U(n)}$.

Non-uniformity for machines

During the construction, we monitor $\Omega_U = \sum_n 2^{-K_U(n)}$.

Important: if at any stage s we notice that $\Omega_U[s] > \alpha[s]$, then we immediately win by picking a random γ in the interval $[\alpha[s], \Omega_U[s]]$ and set $\alpha = \gamma$.

Non-uniformity for machines

During the construction, we monitor $\Omega_U = \sum_n 2^{-K_U(n)}$.

Important: if at any stage s we notice that $\Omega_U[s] > \alpha[s]$, then we immediately win by picking a random γ in the interval $[\alpha[s], \Omega_U[s]]$ and set $\alpha = \gamma$.

Thus we can assume that the opponent “stays below us” ($\Omega_U < \alpha$) at all times.

Non-uniformity for machines

We want to meet the requirements

$(\mathcal{R}_c) : \Omega_U \neq \alpha$ or $K_U(\sigma) > K_M(\sigma) + c$ for some σ

Non-uniformity for machines

We want to meet the requirements

$(\mathcal{R}_c) : \Omega_U \neq \alpha$ or $K_U(\sigma) > K_M(\sigma) + c$ for some σ

Let us fix a c and try to deal with one requirement.

Non-uniformity for machines

The strategy: α moves towards a Martin-Löf random β fixed in advance.

Non-uniformity for machines

The strategy: α moves towards a Martin-Löf random β fixed in advance.

Our machine M issues descriptions of type $M(0^k 1) = \tau$. We suppose we have already issued some descriptions into our machine M , and find a program k such that $0^k 1$ is not yet in the domain of M .

Non-uniformity for machines

The strategy: α moves towards a Martin-Löf random β fixed in advance.

Our machine M issues descriptions of type $M(0^k 1) = \tau$. We suppose we have already issued some descriptions into our machine M , and find a program k such that $0^k 1$ is not yet in the domain of M .

As we move towards β , we monitor Ω_U which as discussed must remain below us. We wait for a stage where Ω_U gets very close to α (say, 2^{-c-k-4} -close).

Non-uniformity for machines

Maybe this does not happen, in which case we cannot have, in the limit, $\Omega_U = \alpha$, our requirement is satisfied.

Non-uniformity for machines

Maybe this does not happen, in which case we cannot have, in the limit, $\Omega_U = \alpha$, our requirement is satisfied.

If it does, we issue a description $M(0^k 1) = \sigma$ for a fresh σ (not seen so far in the range of U).

Non-uniformity for machines

Maybe this does not happen, in which case we cannot have, in the limit, $\Omega_U = \alpha$, our requirement is satisfied.

If it does, we issue a description $M(0^k 1) = \sigma$ for a fresh σ (not seen so far in the range of U).

This puts the opponent in a bad spot. Either he tries to match our description by issuing a new $U(p) = \sigma$, with $|p| \leq |0^k 1| + c$, but then Ω_U becomes greater than α ! (and we win). Or he never matches our description and we satisfy our requirement by ensuring $K_U(\sigma) > K_M(\sigma) + c$

Non-uniformity for machines

But, we cannot declare victory at this point, because we still need to make α Martin-Löf random.

Non-uniformity for machines

But, we cannot declare victory at this point, because we still need to make α Martin-Löf random.

If we keep moving towards β , there is the risk that we eventually become 2^{-c-k} -far from Ω_U , in which case the opponent now has enough space to issue his description without going over α .

Non-uniformity for machines

But, we cannot declare victory at this point, because we still need to make α Martin-Löf random.

If we keep moving towards β , there is the risk that we eventually become 2^{-c-k} -far from Ω_U , in which case the opponent now has enough space to issue his description without going over α .

What we do instead is move towards β using milestones. We pick an intermediate β' which is random and $\approx 2^{-c-d-6}$ far from our current α .

Non-uniformity for machines

But, we cannot declare victory at this point, because we still need to make α Martin-Löf random.

If we keep moving towards β , there is the risk that we eventually become 2^{-c-k} -far from Ω_U , in which case the opponent now has enough space to issue his description without going over α .

What we do instead is move towards β using milestones. We pick an intermediate β' which is random and $\approx 2^{-c-d-6}$ far from our current α .

Then either the opponent follows us, and we pick a new intermediate β' while having made progress towards the real β . Or he does not and the requirement is satisfied.

Layerwise uniformity?

This non-uniformity result does not rule out the possibility of a “layerwise uniform” construction.

Layerwise uniformity?

This non-uniformity result does not rule out the possibility of a “layerwise uniform” construction.

Recall that a **layerwise computable** function is a function F defined on all Martin-Löf random reals, such that $F(x)$ can be uniformly computed given x **together with an upper bound on the randomness deficiency of x** .

Layerwise uniformity?

This non-uniformity result does not rule out the possibility of a “layerwise uniform” construction.

Recall that a **layerwise computable** function is a function F defined on all Martin-Löf random reals, such that $F(x)$ can be uniformly computed given x **together with an upper bound on the randomness deficiency of x** .

Example: $F(x) = \sum_n \frac{(-1)^{x(n)}}{n}$.

Layerwise uniformity?

So could we have a uniform construction of a machine if given not only α but also a bound on its randomness deficiency?

Layerwise uniformity?

So could we have a uniform construction of a machine if given not only α but also a bound on its randomness deficiency?

Theorem

Yes and no.

Layerwise uniformity?

Layerwise computability requires that the answer is independent from the given bound on randomness deficiency. In particular, a layerwise computable function is \emptyset' -computable.

Layerwise uniformity?

Layerwise computability requires that the answer is independent from the given bound on randomness deficiency. In particular, a layerwise computable function is \emptyset' -computable.

And the following stronger theorem holds:

Theorem

One cannot \emptyset' -uniformly produce an index of a universal prefix-free machine U from the index of a Martin-Löf random α .

Layerwise uniformity?

The reason this stronger version is true is that the base theorem is scalable: we can choose to build α in any rational interval $[a, b]$ we want.

Layerwise uniformity?

The reason this stronger version is true is that the base theorem is scalable: we can choose to build α in any rational interval $[a, b]$ we want.

So now pick a Martin-Löf random ξ , and let $\xi_0 < \xi_1 < \dots$ be a computable approximation of ξ by rationals.

Layerwise uniformity?

The reason this stronger version is true is that the base theorem is scalable: we can choose to build α in any rational interval $[a, b]$ we want.

So now pick a Martin-Löf random ξ , and let $\xi_0 < \xi_1 < \dots$ be a computable approximation of ξ by rationals.

We start our construction in the interval $[\xi_0, \xi_1]$. If at any point there is a mind change on the index of the machine U , we move to $[\xi_1, \xi_2]$ and restart the construction against the new machine.

Layerwise uniformity?

The reason this stronger version is true is that the base theorem is scalable: we can choose to build α in any rational interval $[a, b]$ we want.

So now pick a Martin-Löf random ξ , and let $\xi_0 < \xi_1 < \dots$ be a computable approximation of ξ by rationals.

We start our construction in the interval $[\xi_0, \xi_1]$. If at any point there is a mind change on the index of the machine U , we move to $[\xi_1, \xi_2]$ and restart the construction against the new machine.

If there are finitely many mind changes, we get to perform our construction. And if there are infinitely many mind changes, our final α will be ξ , while the opponent will have failed to \emptyset' -produce the index of a machine U .

Layerwise uniformity?

However, there **is** a uniform procedure which given a left-c.e. α and a bound on its randomness deficiency produces a universal machine U such that $\Omega_U = \alpha$ (but U depends on the bound).

Layerwise uniformity?

However, there **is** a uniform procedure which given a left-c.e. α and a bound on its randomness deficiency produces a universal machine U such that $\Omega_U = \alpha$ (but U depends on the bound).

This follows from previous work. Let Ω be the halting probability of an optimal machine. Kučera and Slaman showed how from the index of a left-c.e. real $\alpha \in [0, 1]$ one can build a Martin-Löf test (\mathcal{V}_k) such that if $\alpha \notin (\mathcal{V}_k)$ then one can, uniformly in k , produce approximations $\alpha_1 < \alpha_2 < \dots$ of α and $\Omega_1 < \Omega_2 < \dots$ of Ω such that $(\alpha_{s+1} - \alpha_s) > 2^{-k}(\Omega_{s+1} - \Omega_s)$.

Layerwise uniformity?

However, there **is** a uniform procedure which given a left-c.e. α and a bound on its randomness deficiency produces a universal machine U such that $\Omega_U = \alpha$ (but U depends on the bound).

This follows from previous work. Let Ω be the halting probability of an optimal machine. Kučera and Slaman showed how from the index of a left-c.e. real $\alpha \in [0, 1]$ one can build a Martin-Löf test (\mathcal{V}_k) such that if $\alpha \notin (\mathcal{V}_k)$ then one can, uniformly in k , produce approximations $\alpha_1 < \alpha_2 < \dots$ of α and $\Omega_1 < \Omega_2 < \dots$ of Ω such that $(\alpha_{s+1} - \alpha_s) > 2^{-k}(\Omega_{s+1} - \Omega_s)$.

Then, as shown by Calude et al., one can use such approximations to uniformly build a uniform machine with halting probability α .

One last word on Omega numbers

One last word on Omega numbers

Let U be a machine such that Ω_U is not computable. Then

One last word on Omega numbers

Let U be a machine such that Ω_U is not computable. Then

- There exists a machine V such that $\Omega_U - \Omega_V$ is neither left-c.e. nor right-c.e.
- If U is universal, then V can be taken to be universal as well.

One last word on Omega numbers

Let U be a machine such that Ω_U is not computable. Then

- There exists a machine V such that $\Omega_U - \Omega_V$ is neither left-c.e. nor right-c.e.
- If U is universal, then V can be taken to be universal as well.

(Due to Downey, Hirschfeldt and Nies for Ω_U not random, and Barmpalias and Lewis-Pye for the remaining case).

One last word on Omega numbers

Let U be a machine such that Ω_U is not computable. Then

- There exists a machine V such that $\Omega_U - \Omega_V$ is neither left-c.e. nor right-c.e.
- If U is universal, then V can be taken to be universal as well.

(Due to Downey, Hirschfeldt and Nies for Ω_U not random, and Barmpalias and Lewis-Pye for the remaining case).

Question (Barmpalias and Lewis-Pye): how uniform is this theorem?

One last word on Omega numbers

Here, it is the universality that helps us!

One last word on Omega numbers

Here, it is the universality that helps us!

Theorem

*The construction of V from U is not uniform in general, but **is** uniform if U is universal.*

Děkuji
thank you