

# Perfect Sets and Games on Generalized Baire Spaces

Dorottya Sziráki

MTA Alfréd Rényi Institute of Mathematics

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# Generalized Baire spaces

Let  $\kappa$  be an uncountable cardinal such that  $\kappa^{<\kappa} = \kappa$ .

The  $\kappa$ -Baire space  ${}^\kappa\kappa$  is the set of functions  $f : \kappa \rightarrow \kappa$ , with the bounded topology: basic open sets are of the form

$$N_s = \{f \in {}^\kappa\kappa : s \subset f\}, \quad \text{where } s \in {}^{<\kappa}\kappa.$$

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$\kappa$ -Borel sets: close the family of open subsets under intersections and unions of size  $\leq \kappa$  and complementation.

# Perfect and scattered subsets of the $\kappa$ -Baire space

## Definition (Väänänen, 1991)

Let  $X \subseteq {}^\kappa\kappa$ , let  $x_0 \in {}^\kappa\kappa$  and let  $\omega \leq \gamma \leq \kappa$ . The game  $\mathcal{V}_\gamma(X, x_0)$  has length  $\gamma$  and is played as follows:

<b>I</b>		$U_1$	...		$U_\alpha$	...	
<b>II</b>	$x_0$		$x_1$	...		$x_\alpha$	...

**II** first plays  $x_0$ . In each round  $0 < \alpha < \gamma$ , **I** plays a basic open subset  $U_\alpha$  of  $X$ , and then **II** chooses

$$x_\alpha \in U_\alpha \text{ with } x_\alpha \neq x_\beta \text{ for all } \beta < \alpha.$$

**I** has to play so that  $U_{\beta+1} \ni x_\beta$  in each successor round  $\beta + 1 < \gamma$  and  $U_\alpha = \bigcap_{\beta < \alpha} U_\beta$  in each limit round  $\alpha < \gamma$ .

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- $X$  is  $\omega$ -scattered iff  $X$  is scattered in the usual sense (i.e., each nonempty subspace contains an isolated point).
- $\mathcal{V}_\gamma(X, x_0)$  may not be determined when  $\gamma > \omega$ .

## A different definition of $\kappa$ -perfectness

A subset of  ${}^\kappa\kappa$  is **closed** iff it is the set of branches

$$[T] = \{x \in {}^\kappa\kappa : x \upharpoonright \alpha \in T \text{ for all } \alpha < \kappa\}$$

of a subtree  $T$  of  ${}^{<\kappa}\kappa$ .

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## Definition

A subtree  $T$  of  $<^\kappa\kappa$  is a **strongly  $\kappa$ -perfect tree** if  $T$  is  $<^\kappa$ -closed and every node of  $T$  extends to a splitting node.

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### Example (Huuskonen)

The following set is  $\kappa$ -perfect but is not strongly  $\kappa$ -perfect:

$$Y_\omega = \{x \in {}^\kappa\mathbb{3} : |\{\alpha < \kappa : x(\alpha) = 2\}| < \omega\}.$$

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## Proposition

Let  $X$  be a closed subset of  ${}^\kappa\kappa$ .

$$X \text{ is } \kappa\text{-perfect} \iff X = \bigcup_{i \in I} X_i \text{ for strongly } \kappa\text{-perfect sets } X_i.$$

# Perfect and scattered trees

## Definition (Galgon, 2016)

Let  $T$  be a subtree of  ${}^{<\kappa}2$ , let  $t \in T$ , and let  $\omega \leq \gamma \leq \kappa$ . The game  $\mathcal{G}_\gamma(T, t)$  has length  $\gamma$  and is played as follows:

<b>I</b>	$\delta_0$	$i_0$	...	$\delta_\alpha$	$i_\alpha$	...
<b>II</b>		$t_0$	...		$t_\alpha$	...

In each round  $\alpha < \gamma$ , player **I** first plays  $\delta_\alpha < \kappa$ . Then **II** plays a node  $t_\alpha \in T$  of height  $\geq \delta_\alpha$ , and **I** chooses  $i_\alpha < 2$ . **II** has to play so that  $t \subseteq t_0$ , and

$$t_\beta \widehat{\langle i_\beta \rangle} \subseteq t_\alpha \text{ for all } \beta < \alpha < \gamma.$$

**II** wins a given run of the game if she can play legally in all rounds  $\alpha < \gamma$ .

$T$  is a  $\gamma$ -scattered tree if **I** wins  $\mathcal{G}_\gamma(T, t)$  for all  $t \in T$ .

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①  $T$  is a  $\kappa$ -perfect tree  $\iff [T]$  is a  $\kappa$ -perfect set.

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## Proposition

Let  $T$  be a subtree of  ${}^{<\kappa}\kappa$ .

- 1  $T$  is a  $\kappa$ -perfect tree  $\iff [T]$  is a  $\kappa$ -perfect set.
- 2 If the  $\kappa$ -perfect set property holds for closed subsets of  ${}^{\kappa}\kappa$  (i.e., every closed subset of size  $> \kappa$  has a  $\kappa$ -perfect subset), then

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**Remark:** The  $\kappa$ -PSP for closed subsets of  ${}^\kappa\kappa$  is equiconsistent with the existence of an inaccessible cardinal  $\lambda > \kappa$ .

## Theorem (Sz)

Let  $T$  be a subtree of  ${}^{<\kappa}\kappa$  and let  $\omega \leq \gamma \leq \kappa$ .

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- 3 If  $\kappa$  is weakly compact and  $T \subseteq {}^{<\kappa}2$ , then

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## Question

Is it consistent that 3 holds for “scattered” instead of “perfect”?

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*An analogue of the previous theorem holds for the levels of the “generalized Cantor-Bendixson hierarchies” associated to subsets of  ${}^{\kappa}\kappa$  and to subtrees of  ${}^{<\kappa}\kappa$ .*

- See the next 3 slides for definitions and a precise statement this theorem.

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- See the next 3 slides for definitions and a precise statement this theorem.
- Generalized Cantor-Bendixson hierarchies can be defined for subsets of  ${}^{\kappa}\kappa$  and for subtrees of  $<{}^{\kappa}\kappa$ , using modifications of Väänänen’s and Galgon’s games.
- For subtrees of  $<{}^{\kappa}\kappa$ , modifications of a game equivalent to  $\mathcal{G}_{\gamma}(T, t)$  need to be used.

# Generalizing the Cantor-Bendixson hierarchy

## Definition (Hyttinen; Väänänen)

Let  $X \subseteq {}^\kappa \kappa$ , let  $x_0 \in {}^\kappa \kappa$ , and let  $S$  be a tree without branches of length  $\geq \kappa$ . The  $S$ -approximation  $\mathcal{V}_S(X, x_0)$  of  $\mathcal{V}_\kappa(X, x_0)$  is the following game.

<b>I</b>	$s_1, U_1$	...	$s_\alpha, U_\alpha$	...	
<b>II</b>	$x_0$	$x_1$	...	$x_\alpha$	...

In each round  $\alpha > 0$ , **I** first plays  $s_\alpha \in S$  such that  $s_\alpha >_S s_\beta$  for all  $0 < \beta < \alpha$ . Then **I** plays  $U_\alpha$  and **II** plays  $x_\alpha$  according to the same rules as in  $\mathcal{V}_\kappa(X, x_0)$ . The first player who can not move loses, and the other player wins.

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The first player who can not move loses, and the other player wins. Let

$$\text{Sc}_S(X) = \{x \in X : \mathbf{I} \text{ wins } \mathcal{V}_S(X, x)\};$$
$$\text{Ker}_S(X) = \{x \in {}^\kappa \kappa : \mathbf{II} \text{ wins } \mathcal{V}_S(X, x)\}.$$

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The first player who can not move loses, and the other player wins. Let

$$\begin{aligned}\text{Sc}_S(X) &= \{x \in X : \mathbf{I} \text{ wins } \mathcal{V}_S(X, x)\}; \\ \text{Ker}_S(X) &= \{x \in {}^\kappa \kappa : \mathbf{II} \text{ wins } \mathcal{V}_S(X, x)\}.\end{aligned}$$

The sets  $X \cap \text{Ker}_S(X)$  (resp.  $X - \text{Sc}_S(X)$ ) can be seen as the “levels of a generalized Cantor-Bendixson hierarchy” for the set  $X$  associated to **II** (resp. **I**).<sup>1</sup>

<sup>1</sup>For a precise version of this statement, see: J. Väänänen. A Cantor-Bendixson theorem for the space  $\omega_1^{\omega_1}$ . *Fund. Math.* 137:187–199, 1991.

# Generalizing the Cantor-Bendixson hierarchy

## Proposition (Sz.)

There exists a family

$$\{\mathcal{G}'_{\kappa}(T, t) : T \text{ is a subtree of } {}^{<\kappa}\kappa, t \in T \text{ and } \omega \leq \gamma \leq \kappa\}$$

of games such that the following hold for all  $T, t$  and  $\gamma$ .

- The games  $\mathcal{G}'_{\gamma}(T, t)$  and  $\mathcal{G}_{\gamma}(T, t)$  are equivalent whenever  $T \subseteq {}^{<\kappa}2$ .
- Given a tree  $S$  without branches of length  $\geq \kappa$ , let  $\mathcal{G}'_S(T, t)$  denote the  $S$ -approximation of  $\mathcal{G}'_{\kappa}(T, t)$  (this is defined analogously to the  $S$ -approximations  $\mathcal{V}_S(T, x)$ ). Let

$$\text{Sc}_S(T) = \{t \in T : \mathbf{I} \text{ wins } \mathcal{G}'_S(T, t)\};$$

$$\text{Ker}_S(T) = \{t \in T : \mathbf{II} \text{ wins } \mathcal{G}'_S(T, t)\}.$$

Then  $\text{Ker}_S(T)$  (resp.  $T - \text{Sc}_S(T)$ ) generalize the levels of the Cantor-Bendixson hierarchy for  $T$  which was defined by Galgon, for  $\mathbf{II}$  (resp.  $\mathbf{I}$ ).<sup>2</sup>

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<sup>2</sup> In the same sense that  $X \cap \text{Ker}_S(X)$  (resp.  $X - \text{Sc}_S(X)$ ) generalize the levels of the Cantor-Bendixson hierarchy for  $X$ , for  $\mathbf{II}$  (resp.  $\mathbf{I}$ ).

## Theorem (Sz; precise version of previous theorem)

Let  $T$  be a subtree of  ${}^{<\kappa}\kappa$ ; let  $S$  be a tree without branches of length  $\geq \kappa$ .

- 1  $\text{Ker}_S([T]) \subseteq [\text{Ker}_S(T)]$ .
- 2  $[T] - \text{Sc}_S([T]) \subseteq [T - \text{Sc}_S(T)]$ .
- 3 If  $\kappa$  has the tree property and  $T$  is a  $\kappa$ -tree, then

$$\text{Ker}_S([T]) = [\text{Ker}_S(T)].$$

# Väänänen's generalized Cantor-Bendixson theorem

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Theorem (Väänänen, 1991)

*The following Cantor-Bendixson theorem for  ${}^{\kappa}\kappa$  is consistent relative to the existence of a **measurable** cardinal  $\lambda > \kappa$ :*

*Every closed subset of  ${}^{\kappa}\kappa$  is the (disjoint) union of  
a  $\kappa$ -perfect set and a  $\kappa$ -scattered set, which is of size  $\leq \kappa$ .*

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Every closed subset of  ${}^{\kappa}\kappa$  is the (disjoint) union of  
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## Theorem (Galgon, 2016)

Väänänen's generalized Cantor-Bendixson theorem is consistent relative to the existence of an *inaccessible* cardinal  $\lambda > \kappa$ .

# Väänänen's generalized Cantor-Bendixson theorem

## Proposition (Sz)

*Väänänen's generalized Cantor-Bendixson theorem is equivalent to the  $\kappa$ -perfect set property for closed subsets of  ${}^\kappa\kappa$  (i.e., the statement that every closed subset of  ${}^\kappa\kappa$  of size  $> \kappa$  has a  $\kappa$ -perfect subset).*

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**Remark:** The  $\kappa$ -PSP for closed subsets of  ${}^\kappa\kappa$  is equiconsistent with the existence of an inaccessible cardinal  $\lambda > \kappa$ .

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**Remark:** The  $\kappa$ -PSP for closed subsets of  ${}^\kappa\kappa$  is equiconsistent with the existence of an inaccessible cardinal  $\lambda > \kappa$ .

## Proof (idea).

Let  $X$  be a closed subset of  ${}^\kappa\kappa$ . Its set of  $\kappa$ -condensation points is defined to be

$$CP_\kappa(X) = \{x \in X : |X \cap N_{x \upharpoonright \alpha}| > \kappa \text{ for all } \alpha < \kappa\}.$$

If the  $\kappa$ -PSP holds for closed subsets of  ${}^\kappa\kappa$ , then  $CP_\kappa(X)$  is a  $\kappa$ -perfect set and  $X - CP_\kappa(X)$  is a  $\kappa$ -scattered set of size  $\leq \kappa$ . □

Thank you!